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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mark D. Scott et al.

Title: ANTIGENIC MODULATION OF CELLS

Docket No.: 259.006US1

Filed: June 1, 1999

Examiner: Unknown



Serial No.: 09/323,765

Due Date: August 30, 1999

Group Art Unit: 1645

Attn: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

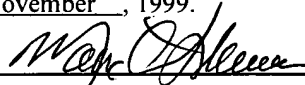
We are transmitting herewith the attached:

- ☒ A verified statement to establish small entity status under 37 C.F.R. 1.9 and 1.27.
- ☒ A PETITION FOR A TWO-MONTH EXTENSION OF TIME (2pgs.).
- ☒ A check in the amount of \$ 190.00 to cover the Extension Fee.
- ☒ A check in the amount of \$65.00 to cover the Missing Parts Fee.
- ☒ A check in the amount of \$1,019.00 to cover the Basic Filing Fee and Additional Claims Fee.
- ☒ Form PTO-1533 (1pg.)
- ☒ Communication Re: Missing Parts (1 pg.)
- ☒ A return postcard.

**Please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.**

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described above, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231, on this 1<sup>st</sup> day of November, 1999.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

By:   
Name: Mark A. Litman  
Reg. No. 26,390  
MAL:CMG:lht

S/N 09/323,765

PATENT

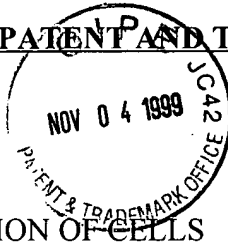
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COMMUNICATION RE: MISSING PARTS

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

In response to the "Notice to File Missing Parts" (see enclosed copy), we submit the signed Declaration along with a check in the amount of \$65.00 to cover the small entity surcharge and a check in the amount of \$1,019.00 to cover the small entity basic filing fee.

Applicants assume the application is now in proper order and in condition for examination. Please direct any inquiries to the undersigned attorney at (612) 373-6975.

Respectfully submitted,

MARK D. SCOTT ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402  
(612) 373-6975

Date 1 November 1999

By

Mark A. Litman  
Reg. No. 26,390  
MAL:CMG:lht

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner of Patents, Washington, D.C. 20231, on November 1, 1999  
Date of Deposit

Laurent Tran  
Ramon Diaz



**UNITED STATES DEPARTMENT OF COMMERCE**  
**Patent and Trademark Office**  
 Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
 Washington, D.C. 20231

APPLICATION NUMBER	09/323,765	06/01/99	SCOTT	M	259,006US1
FILING/RECEIPT DATE					
FIRST NAMED APPLICANT					
ATTORNEY DOCKET NUMBER					

021186  
 SCHNEGMAN LUNDBERG WOEISSNER & KLUTH  
 P O BOX 2938  
 MINNEAPOLIS MN 55402

NOT ASSIGNED

1645

DATE MAILED: 06/30/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☐ non-small entity is \$ 2160

☒ 1. The statutory basic filing fee is:

☒ missing  
☐ insufficient

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ 576 for 30 total claims over 20.

\$ 708 for 9 independent claims over 3.

\$          for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

☒ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box/Missing Parts."

*A copy of this notice MUST be returned with the reply.*

*Everett Williams*

Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

11/05/1999 Y6124W 00000132 09323765

380.00 DP  
 531.00 DP  
 286.00 DP  
 63.00 DP  
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